

New gTLD Program

Initial Evaluation Report

Report Date: 22 March 2013

| Application ID: | 1-904-60726 |
|---------------------|--|
| Applied-for String: | vermögensberater |
| Priority Number: | 22 |
| Applicant Name: | Deutsche Vermögensberatung Aktiengesellschaft DVAG |

Overall Initial Evaluation Summary

Initial Evaluation Result

Congratulations!

Based on the review of your application against the relevant criteria in the Applicant Guidebook (including related supplemental notes and advisories), your application has passed Initial Evaluation.

Background Screening Summary

Background Screening

Based on review performed to-date, the application is eligible to proceed to the next step in the Program. ICANN reserves the right to perform additional background screening and research, to seek additional information from the applicant, and to reassess and change eligibility up until the execution of the Registry Agreement.

Panel Summary

String Similarity

The String Similarity Panel has determined that your application is consistent with the requirements in Sections 2.2.1.1 and 2.2.1.2 of the Applicant Guidebook, and your applied-for string is not in contention with any other applied-for strings.

DNS Stability

The DNS Stability Panel has determined that your application is consistent with the requirements in Section 2.2.1.3 of the Applicant Guidebook.

Geographic Names

The Geographic Names Panel has determined that your application does not fall within the criteria for a geographic name contained in the Applicant Guidebook Section 2.2.1.4.

Registry Services

The Registry Services Panel has determined that the proposed registry services do not require further review.

Technical & Operational Capability

The Technical & Operational Capability Panel determined that:

Your application meets the Technical & Operational Capability criteria specified in the Applicant Guidebook.

| Question | Score |
|-------------------------------------|-------|
| 24: SRS | 1 |
| 25: EPP | 1 |
| 26: Whois | 2 |
| 27: Registration Life Cycle | 1 |
| 28: Abuse Prevention and Mitigation | 2 |
| 29: Rights Protection Mechanism | 2 |
| 30: Security Policy | 1 |
| 31: Technical Overview of Registry | 1 |
| 32: Architecture | 2 |
| 33: Database Capabilities | 2 |
| 34: Geographic Diversity | 2 |

Pass

Pass

Pass

Eligible

Pass

Not a Geographic Name - Pass

Pass - No Contention

| 35: DNS Service | 1 | |
|---|-------------------------------------|-----|
| 36: IPv6 Reachability | 1 | |
| 37: Data Backup Policies & Procedures | 1 | |
| 38: Data Escrow | 1 | |
| 39: Registry Continuity | 2 | |
| 40: Registry Transition | 1 | |
| 41: Failover Testing | 1 | |
| 42: Monitoring and Fault Escalation | 2 | |
| 43: DNSSEC | 1 | |
| 44: IDNs (Optional) | 1 | |
| Total | 29 | |
| Minimum Required Total Score to Pass* | 22 | |
| | | |
| *No zero score allowed except on optional Q44 | | Dac |
| *No zero score allowed except on optional Q44 Financial Capability The Financial Capability Panel determined that: | | Pas |
| Financial Capability The Financial Capability Panel determined that: Your application meets the Financial Capability criteria specified in | | Pas |
| Financial Capability The Financial Capability Panel determined that: Your application meets the Financial Capability criteria specified in Question | Score | Pas |
| Financial Capability The Financial Capability Panel determined that: Your application meets the Financial Capability criteria specified in Question 45: Financial Statements | Score 1 | Pas |
| Financial Capability The Financial Capability Panel determined that: Your application meets the Financial Capability criteria specified in Question 45: Financial Statements 46: Projections Template | Score | Pas |
| Financial Capability The Financial Capability Panel determined that: Your application meets the Financial Capability criteria specified in Question 45: Financial Statements 46: Projections Template 47: Costs and Capital Expenditures | Score 1 1 1 1 | Pas |
| Financial Capability The Financial Capability Panel determined that: Your application meets the Financial Capability criteria specified in Question 45: Financial Statements 46: Projections Template 47: Costs and Capital Expenditures 48: Funding and Revenue | Score 1 1 | Pas |
| Financial Capability The Financial Capability Panel determined that: Your application meets the Financial Capability criteria specified in Question 45: Financial Statements 46: Projections Template 47: Costs and Capital Expenditures 48: Funding and Revenue 49: Contingency Planning | Score 1 1 1 2 | Pas |
| Financial Capability The Financial Capability Panel determined that: Your application meets the Financial Capability criteria specified in Question 45: Financial Statements 46: Projections Template 47: Costs and Capital Expenditures 48: Funding and Revenue | Score 1 1 1 2 2 | Pas |
| Financial Capability The Financial Capability Panel determined that: Your application meets the Financial Capability criteria specified in Question 45: Financial Statements 46: Projections Template 47: Costs and Capital Expenditures 48: Funding and Revenue 49: Contingency Planning 50: Funding Critical Registry Functions | Score 1 1 1 2 2 3 | Pas |

Disclaimer: Please note that these Initial Evaluation results do not necessarily determine the final result of the application. In limited cases the results might be subject to change. All applications are subjected to due diligence at contracting time, which may include an additional review of the Continued Operations Instrument for conformance to Specification 8 of the Registry Agreement with ICANN. These results do not constitute a waiver or amendment of any provision of the Applicant Guidebook or the Registry Agreement. For updated application status and complete details on the program, please refer to the Applicant Guidebook and the ICANN New gTLDs microsite at <newgtlds.icann.org>.