

Pre-Delegation Testing

DNS Delegation Test Cases

Version J

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LIST OF CONTENTS

1. INTRODUCTION.....	6
1.1 SCOPE	6
1.2 REFERENCES	6
1.2.1 External.....	6
1.2.2 Internal	6
1.2.3 Document Hierarchy	6
1.3 CONTEXT.....	6
1.4 NOTATION FOR DESCRIPTION	6
2. MINIMUM NUMBER OF NAME SERVERS	7
2.1 TEST CASE IDENTIFIER	7
2.2 OBJECTIVE	7
2.3 INPUTS	7
2.4 OUTCOME(S).....	7
2.5 ENVIRONMENTAL NEEDS.....	7
2.6 SPECIAL PROCEDURAL REQUIREMENTS.....	7
2.7 INTERCASE DEPENDENCIES	7
2.8 ORDERED DESCRIPTION OF STEPS TO BE TAKEN TO EXECUTE THE TEST CASE.....	8
3. NAME SERVER REACHABILITY	9
3.1 TEST CASE IDENTIFIER	9
3.2 OBJECTIVE	9
3.3 INPUTS	9
3.4 OUTCOME(S).....	9
3.5 ENVIRONMENTAL NEEDS.....	9
3.6 SPECIAL PROCEDURAL REQUIREMENTS.....	9
3.7 INTERCASE DEPENDENCIES	9
3.8 ORDERED DESCRIPTION OF STEPS TO BE TAKEN TO EXECUTE THE TEST CASE.....	9
4. ANSWER AUTHORITATIVELY.....	10
4.1 TEST CASE IDENTIFIER	10
4.2 OBJECTIVE	10
4.3 INPUTS	10
4.4 OUTCOME(S).....	10
4.5 ENVIRONMENTAL NEEDS.....	10
4.6 SPECIAL PROCEDURAL REQUIREMENTS.....	10
4.7 INTERCASE DEPENDENCIES	10
4.8 ORDERED DESCRIPTION OF STEPS TO BE TAKEN TO EXECUTE THE TEST CASE.....	10
5. NETWORK DIVERSITY	11
5.1 TEST CASE IDENTIFIER	11
5.2 OBJECTIVE	11
5.3 INPUTS	11
5.4 OUTCOME(S).....	11
5.5 ENVIRONMENTAL NEEDS.....	11
5.6 SPECIAL PROCEDURAL REQUIREMENTS.....	11
5.7 INTERCASE DEPENDENCIES	11
5.8 ORDERED DESCRIPTION OF STEPS TO BE TAKEN TO EXECUTE THE TEST CASE.....	11
6. CONSISTENCY BETWEEN GLUE AND AUTHORITATIVE DATA	12
6.1 TEST CASE IDENTIFIER	12
6.2 OBJECTIVE	12
6.3 INPUTS	12
6.4 OUTCOME(S).....	12
6.5 ENVIRONMENTAL NEEDS.....	12
6.6 SPECIAL PROCEDURAL REQUIREMENTS.....	12

6.7	INTERCASE DEPENDENCIES	12
6.8	ORDERED DESCRIPTION OF STEPS TO BE TAKEN TO EXECUTE THE TEST CASE.....	12
7.	CONSISTENCY BETWEEN DELEGATION AND ZONE.....	13
7.1	TEST CASE IDENTIFIER	13
7.2	OBJECTIVE	13
7.3	INPUTS	13
7.4	OUTCOME(S)	13
7.5	ENVIRONMENTAL NEEDS	13
7.6	SPECIAL PROCEDURAL REQUIREMENTS.....	13
7.7	INTERCASE DEPENDENCIES	13
7.8	ORDERED DESCRIPTION OF STEPS TO BE TAKEN TO EXECUTE THE TEST CASE.....	13
8.	SOA RECORD CONSISTENCY BETWEEN AUTHORITATIVE NAME SERVERS.....	14
8.1	TEST CASE IDENTIFIER	14
8.2	OBJECTIVE	14
8.3	INPUTS	14
8.4	OUTCOME(S)	14
8.5	ENVIRONMENTAL NEEDS	14
8.6	SPECIAL PROCEDURAL REQUIREMENTS.....	14
8.7	INTERCASE DEPENDENCIES	14
8.8	ORDERED DESCRIPTION OF STEPS TO BE TAKEN TO EXECUTE THE TEST CASE.....	15
9.	NS RECORD CONSISTENCY BETWEEN AUTHORITATIVE NAME SERVERS.....	16
9.1	TEST CASE IDENTIFIER	16
9.2	OBJECTIVE	16
9.3	INPUTS	16
9.4	OUTCOME(S)	16
9.5	ENVIRONMENTAL NEEDS	16
9.6	SPECIAL PROCEDURAL REQUIREMENTS.....	16
9.7	INTERCASE DEPENDENCIES	16
9.8	ORDERED DESCRIPTION OF STEPS TO BE TAKEN TO EXECUTE THE TEST CASE.....	16
10.	NO TRUNCATION OF REFERRALS	17
10.1	TEST CASE IDENTIFIER	17
10.2	OBJECTIVE.....	17
10.3	INPUTS	17
10.4	OUTCOME(S)	17
10.5	ENVIRONMENTAL NEEDS	17
10.6	SPECIAL PROCEDURAL REQUIREMENTS	17
10.7	INTERCASE DEPENDENCIES	17
10.8	ORDERED DESCRIPTION OF STEPS TO BE TAKEN TO EXECUTE THE TEST CASE	17
11.	PROHIBITED NETWORKS	18
11.1	TEST CASE IDENTIFIER	18
11.2	OBJECTIVE.....	18
11.3	INPUTS	18
11.4	OUTCOME(S)	18
11.5	ENVIRONMENTAL NEEDS	18
11.6	SPECIAL PROCEDURAL REQUIREMENTS	18
11.7	INTERCASE DEPENDENCIES	18
11.8	ORDERED DESCRIPTION OF STEPS TO BE TAKEN TO EXECUTE THE TEST CASE	18
12.	NO OPEN RECURSIVE NAME SERVICE	20
12.1	TEST CASE IDENTIFIER	20
12.2	OBJECTIVE.....	20
12.3	INPUTS	20
12.4	OUTCOME(S)	20
12.5	ENVIRONMENTAL NEEDS	20
12.6	SPECIAL PROCEDURAL REQUIREMENTS	20

12.7	INTERCASE DEPENDENCIES	20
12.8	ORDERED DESCRIPTION OF STEPS TO BE TAKEN TO EXECUTE THE TEST CASE	20
13.	SAME SOURCE ADDRESS	21
13.1	TEST CASE IDENTIFIER	21
13.2	OBJECTIVE.....	21
13.3	INPUTS	21
13.4	OUTCOME(S)	21
13.5	ENVIRONMENTAL NEEDS	21
13.6	SPECIAL PROCEDURAL REQUIREMENTS	21
13.7	INTERCASE DEPENDENCIES	21
13.8	ORDERED DESCRIPTION OF STEPS TO BE TAKEN TO EXECUTE THE TEST CASE	21
14.	CHECK INVALID SYNTAX FOR SOA RNAME.....	22
14.1	TEST CASE IDENTIFIER	22
14.2	OBJECTIVE.....	22
14.3	INPUTS	22
14.4	OUTCOME(S)	22
14.5	ENVIRONMENTAL NEEDS	22
14.6	SPECIAL PROCEDURAL REQUIREMENTS	22
14.7	INTERCASE DEPENDENCIES	22
14.8	ORDERED DESCRIPTION OF STEPS TO BE TAKEN TO EXECUTE THE TEST CASE	22
15.	CHECK SOA MINIMUM VALUE	23
15.1	TEST CASE IDENTIFIER	23
15.2	OBJECTIVE.....	23
15.3	INPUTS	23
15.4	OUTCOME(S)	23
15.5	ENVIRONMENTAL NEEDS	23
15.6	SPECIAL PROCEDURAL REQUIREMENTS	23
15.7	INTERCASE DEPENDENCIES	23
15.8	ORDERED DESCRIPTION OF STEPS TO BE TAKEN TO EXECUTE THE TEST CASE	23
16.	GLOBAL.....	24
16.1	GLOSSARY.....	24
16.2	DOCUMENT CHANGE PROCEDURES.....	24

1. Introduction

1.1 Scope

The Pre-Delegation Testing Provider will test the DNS service for the designated zone and verify the resulting answers. The test cases described in this document are all done using DNSCheck with the same set of input parameters.

1.2 References

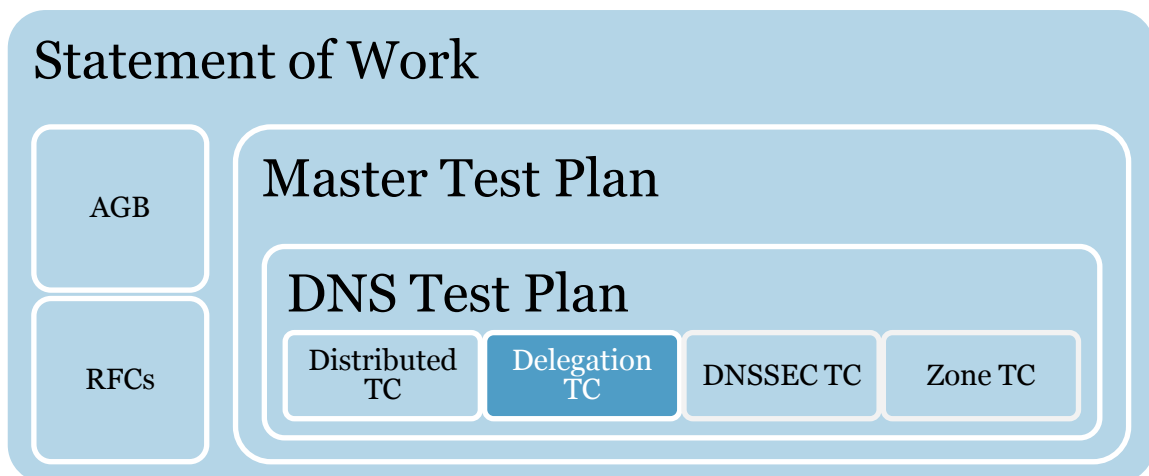
1.2.1 External

- IEEE 829-2008
- ICANN gTLD Applicant Guidebook, Version 2012-06-04
- IANA document “Technical requirements for authoritative name servers”.¹
- IANA document “Placing TLD delegation signer information in the root zone”.²

1.2.2 Internal

- Pre-Delegation Testing, Statement of Work
- Pre-Delegation Testing, Master Test Plan
- Pre-Delegation Testing, DNS Test Plan

1.2.3 Document Hierarchy



1.3 Context

All tests are to be performed over IPv4 and IPv6 from at least five points on the Internet. At least one probe node should be located in every ICANN region.

1.4 Notation for description

Each test case for the DNS service is described in their own section. The test procedures are described directly in the test case.

¹ <http://www.iana.org/procedures/nameserver-requirements.html> per 2012-12-18

² <http://www.iana.org/procedures/root-dnssec-records.html> per 2012-12-18

2. Minimum number of name servers

2.1 Test case identifier

DNS01 Minimum number of name servers

2.2 Objective

There must be at least two NS records listed in a delegation, and the hosts must not resolve to the same IP address.

This test case fulfills the requirement 2.1.1 in the “Technical requirements for authoritative name servers” document.

2.3 Inputs

The following information will be needed as input for this test case:

Id	Description	Type
TLD	The ASCII compatible name of the TLD	String
DnsNameServer-[1..n]	FQDN of authoritative name server	String
DnsGlueRecord-[1..n]	All IPv4 or IPv6 addresses for auth NS	String

The above input is also considered to be the exact same information that is sent to IANA for inclusion in the root zone. IANA will only publish the subordinate host glue records in the root zone.

2.4 Outcome(s)

There must be at least two name servers in the input delegation data. If there are less than two distinct IPv4 addresses for the delegated name servers, the message DELEGATION:TOO_FEW_NS_IPV4 is generated and this test case fails.

There must be at least two distinct IPv6 addresses for the delegated name servers. If there are less than two distinct IPv6 addresses, the message DELEGATION:TOO_FEW_NS_IPV6 is generated and this test case fails.

There must be at least two NS records for the delegation. If there are less than two NS records, the message DELEGATION:TOO_FEW_NS is generated and this test case fails.

2.5 Environmental needs

All authoritative name servers listed in the inputs section 2.3 should be authoritative for the designated zone.

2.6 Special procedural requirements

This test has no procedural requirements.

2.7 Intercase dependencies

This test has no intercase dependencies.

2.8 Ordered description of steps to be taken to execute the test case

An NS query is made to all listed name servers for the designated zone. The NS records in the answer are compared with the parent zone (from the input data). If the total number of common NS records between parent and zone is less than two the DELEGATION:TOO_FEW_NS message is generated.

The IP addresses of all NS records are collected. If the total count of distinct IPv4 addresses is below 2 the message TOO_FEW_NS_IPV4 is generated. If the total count of distinct IPv6 addresses is below 2 the message TOO_FEW_NS_IPV6 is generated. If the total of common NS records in the delegation from both the parent and the child zone is below 2, the message DELEGATION:TOO_FEW_NS is also generated.

3. Name server reachability

3.1 Test case identifier

DNS02 Name server reachability

3.2 Objective

The name servers must answer DNS queries over both the UDP and TCP protocols on port 53.

This test case fulfills the requirements 2.3.1 in the “Technical requirements for authoritative name servers” document, and the requirements on TCP and UDP of section 5.2 in the Applicant Guidebook.

3.3 Inputs

See section 2.3 in this document.

3.4 Outcome(s)

If any query is failing to get an answer, the error message NAMESERVER:NO_UDP and NAMESERVER:NO_TCP is generated and this test case fails.

3.5 Environmental needs

All authoritative name servers listed in the inputs section 2.3 must be authoritative for the designated zone.

3.6 Special procedural requirements

This test has no procedural requirements.

3.7 Intercase dependencies

This test has no intercase dependencies.

3.8 Ordered description of steps to be taken to execute the test case

A SOA query is sent over UDP and TCP to all the listed nameservers. If any query fails to give an answer, either the message NAMESERVER:NO_UDP or NAMESERVER:NO_TCP is generated.

4. Answer authoritatively

4.1 Test case identifier

DNS03 Answer authoritatively

4.2 Objective

The name servers must answer authoritatively for the designated zone. Responses to queries to the name servers for the designated zone must have the “AA”-bit set.

This test case fulfills the requirements 2.4.1 and 2.4.2 in the “Technical requirements for authoritative name servers” document.

4.3 Inputs

See section 2.3 in this document.

4.4 Outcome(s)

If any name server answers without the AA-bit, the error message NAMESERVER:NOT_AUTH is generated and this test case fails.

4.5 Environmental needs

All authoritative name servers listed in the inputs section 2.3 should be authoritative for the designated zone.

4.6 Special procedural requirements

This test has no procedural requirements.

4.7 Intercase dependencies

This test has no intercase dependencies.

4.8 Ordered description of steps to be taken to execute the test case

All listed name servers are queried for the SOA record over UDP and TCP. If any of the name servers fail to give an authoritative answer (“AA-bit” is set in the answer), the message NAMESERVER:NOT_AUTH is generated.

5. Network diversity

5.1 Test case identifier

DNS04 Network diversity

5.2 Objective

The name servers must be in at least two topologically separate networks for IPv4 and IPv6, respectively.

This test case fulfills the requirements 2.5.2 in the “Technical requirements for authoritative name servers” document.

5.3 Inputs

See section 2.3 in this document. In addition to this we use the “IP to ASN mapping” feed from Team Cymru³ and the RIPE RIS database⁴, respectively.

5.4 Outcome(s)

There must be at least two different AS's from the process described in section 5.8. First the Cymru feed is tested. If that reports one AS, the RIPE RIS database is tested. If neither of the two sources reports at least two AS's for IPv4 and IPv6, respectively, this test case fails.

5.5 Environmental needs

There must be an updated “IP to ASN mapping” from Team Cymru in place for the IP address mapping to AS numbers. The RIPE RIS database must be available online.

5.6 Special procedural requirements

This test has no procedural requirements.

5.7 Intercase dependencies

This test has no intercase dependencies.

5.8 Ordered description of steps to be taken to execute the test case

1. All NS records and their IP addresses are looked up for the designated zone.
2. For every IPv4 address, its routing prefix is looked up. It is then verified that the total number of different AS's that the prefixes are announced from is two or greater. If not, it is a FAIL.
3. For every IPv6 address, its routing prefix is looked up. It is then verified that the total number of different AS's that the prefixes are announced from is two or greater. If not, it is a FAIL.

³ <http://www.team-cymru.org/Services/ip-to-asn.html>

⁴ <http://www.ripe.net/data-tools/stats/ris/routing-information-service>

6. Consistency between glue and authoritative data

6.1 Test case identifier

DNS05 Consistency between glue and authoritative data

6.2 Objective

For name servers that have IP addresses listed as glue, the IP addresses must match the authoritative A and AAAA records for that host.

This test case fulfills the requirements 2.6.1 in the “Technical requirements for authoritative name servers” document.

6.3 Inputs

See section 2.3 in this document.

6.4 Outcome(s)

If there is an inconsistency between the IP-addresses for any host on any authoritative name server, the error message DELEGATION:INCONSISTENT_GLUE is generated and this test case fails.

6.5 Environmental needs

All authoritative name servers listed in the inputs section 2.3 should be authoritative for the designated zone.

6.6 Special procedural requirements

This test has no procedural requirements.

6.7 Intercase dependencies

This test has no intercase dependencies.

6.8 Ordered description of steps to be taken to execute the test case

The name server data on the input parameters side is compared to the content of the answers for all the name servers. If there is an inconsistency between the sets of IP-addresses the message DELEGATION:INCONSISTENT_GLUE is generated.

7. Consistency between delegation and zone

7.1 Test case identifier

DNS06 Consistency between delegation and zone

7.2 Objective

The set of NS records served by the authoritative name servers must match those proposed for the delegation in the parent zone.

This test case fulfills the requirements 2.7.1 in the “Technical requirements for authoritative name servers” document.

7.3 Inputs

See section 2.3 in this document.

7.4 Outcome(s)

The NS sets between the parent and the child zone must be consistent. If the NS sets are not consistent the error message DELEGATION:EXTRA_NS_PARENT or DELEGATION:EXTRA_NS_CHILD is generated and this test case fails.

7.5 Environmental needs

All authoritative name servers listed in the inputs section 2.3 should be authoritative for the designated zone.

7.6 Special procedural requirements

This test has no procedural requirements.

7.7 Intercase dependencies

This test has no intercase dependencies.

7.8 Ordered description of steps to be taken to execute the test case

All authoritative name servers are queried for the NS set. The name server data on the input parameters side is compared to the content of the answers for all the name servers. If there is an inconsistency between the NS record sets, the message DELEGATION:EXTRA_NS_PARENT or DELEGATION:EXTRA_NS_CHILD is generated.

8. SOA record consistency between authoritative name servers

8.1 Test case identifier

DNS07 SOA record consistency between authoritative name servers

8.2 Objective

The data served by the authoritative name servers for the designated zone must be consistent. All authoritative name servers must serve the same SOA record for the designated zone.

This test case fulfills the requirements 2.8.1 and 2.8.3 in the “Technical requirements for authoritative name servers” document.

8.3 Inputs

See section 2.3 in this document.

8.4 Outcome(s)

All authoritative name servers must have consistent SOA digests and SOA serial values. If there is any inconsistency, the error messages `CONSISTENCY:SOA_SERIAL_DIFFERENT` or `CONSISTENCY:SOA_DIGEST_DIFFERENT` is generated, and this test case fails in this first step.

If there are occurrences of the error `CONSISTENCY:SOA_SERIAL_DIFFERENT`, there is a manual inspection of the SOA Serial numbers in the logs. See the requirement in 2.8.3.1 in the “Technical requirements for authoritative name servers” document. If the difference of the SOA Serial is considered minor, the error is discarded, and the test case is passed. If the difference is considered major, this test case fails.

8.5 Environmental needs

All name servers listed in the inputs section 2.3 should be authoritative for the designated zone.

8.6 Special procedural requirements

If for operational reasons the zone content fluctuates rapidly, the serial numbers need only be loosely coherent. Manual inspection of the logs in case of the occurrence of `CONSISTENCY:SOA_SERIAL_DIFFERENT`.

There are several different methods to set the SOA Serial number. The most popular are “unix time” where the Serial is a second counter based on unix time, “date” where the Serial is a date and a serial number counter at the end, and “counter” where the Serial value is just any type of counter. The most common use is probably “unix time”. In both “date” and “unix time” it should be easy to note that the authoritative name servers do not differ any more than a few serial number updates. A manual inspection of the SOA serial should be enough to determine if the zone updates work properly or not, and if the serial values are within a reasonable range, the test is ok.

8.7 Intercase dependencies

This test has no intercase dependencies.

8.8 Ordered description of steps to be taken to execute the test case

The SOA record is queried from all the name servers found in the input parameters, and also in the zone itself. If the SOA serial number is not all the same for all the answers, the message CONSISTENCY:SOA_SERIAL_DIFFERENT is generated. A digest is calculated from the SOA records as well, and if the digest is not all the same for all the answers the message CONSISTENCY:SOA_DIGEST_DIFFERENT is generated.

9. NS record consistency between authoritative name servers

9.1 Test case identifier

DNS08 NS record consistency between authoritative name servers

9.2 Objective

The data served by the authoritative name servers for the designated zone must be consistent. All authoritative name servers must serve the same NS record set for the zone domain.

This test case fulfills the requirements 2.8.1 and 2.8.2 in the “Technical requirements for authoritative name servers” document.

9.3 Inputs

See section 2.3 in this document.

9.4 Outcome(s)

All authoritative name servers must have consistent NS sets in the answer. If there is any inconsistency in the answers, the message CONSISTENCY:MULTIPLE_NS_SETS is generated and this test case fails.

9.5 Environmental needs

All authoritative name servers listed in the inputs section 2.3 should be authoritative for the designated zone.

9.6 Special procedural requirements

This test has no procedural requirements.

9.7 Intercase dependencies

This test has no intercase dependencies.

9.8 Ordered description of steps to be taken to execute the test case

An NS record query for the TLD is made for all the name servers found in the input parameters. If any of the NS records in an authoritative answer is not consistent with any of the other answers, the message CONSISTENCY:MULTIPLE_NS_SETS is generated.

10. No truncation of referrals

10.1 Test case identifier

DNS09 No truncation of referrals

10.2 Objective

Referrals from the parent zone's name servers must fit into a non-EDNS0 UDP DNS packet and therefore the DNS payload must not exceed 512 octets.

This test case fulfills the requirements 2.9.1 and 2.9.2 in the “Technical requirements for authoritative name servers” document.

10.3 Inputs

See section 2.3 in this document.

10.4 Outcome(s)

The created DNS referral packet must not be more than 512 octets. If the DNS packet is larger than 512 bytes, the message DELEGATION:MIN_REFERRAL_SIZE_TOO_BIG is generated and this test case fails.

10.5 Environmental needs

This test has no environmental requirements.

10.6 Special procedural requirements

This test has no procedural requirements.

10.7 Intercase dependencies

This test has no intercase dependencies.

10.8 Ordered description of steps to be taken to execute the test case

An empty DNS answer packet is generated. All NS records from the input, and all the in-bailiwick glue is added to the packet. If the size of the packet is more than 512 octets the message DELEGATION:MIN_REFERRAL_SIZE_TOO_BIG is generated.

11. Prohibited networks

11.1 Test case identifier

DNS10 Prohibited networks

11.2 Objective

The authoritative name server IP addresses must not be in specially designated networks that are either not globally routable, or are otherwise unsuited for authoritative name service.

This test case fulfills the requirements in 2.10 of the “Technical requirements for authoritative name servers” document.

11.3 Inputs

See section 2.3 in this document.

11.4 Outcome(s)

All IP addresses used by the name servers in the delegation for the designated zone must be globally routable. If any of the IP addresses used is reserved, private or otherwise unsuitable (see the table in 11.8), any of the error messages ADDRESS:RESERVED_IPV4, ADDRESS:RESERVED_IPV6, ADDRESS:UNSUITABLE_IPV4, ADDRESS:UNSUITABLE_IPV6, ADDRESS:INVALID or ADDRESS:PRIVATE_IPV4 is generated and this test case fails.

11.5 Environmental needs

This test has no environmental requirements.

11.6 Special procedural requirements

This test has no procedural requirements.

11.7 Intercase dependencies

This test has no intercase dependencies.

11.8 Ordered description of steps to be taken to execute the test case

All name servers found in the input parameters are queried for their IP addresses in the zone. Along with IP addresses from the input data, all addresses are compared to a list containing blocks of reserved IPv4 addresses not suitable for global routing, blocks of reserved IPv6 addresses not suitable for global routing, and Teredo and 6to4 IPv6 tunnel addresses. This is a table of the message and the message generated if the IP address is within the disallowed address range:

Address blocks	Description	DNSCheck message
127/8, 169.254/16, 192.0.0.0/24, 23.255.255.0/24, 224.0.0.0/4, 240.0.0.0/4	Reserved IPv4 address blocks from RFC 3330. RFC 5735 and RFC 5771 (Multicast)	ADDRESS:RESERVED_IPV4
::1/128, ::/128, ::FFFF:0:0/96, fe80::/10, fc00::/7, 2001:db8::/32, 2002::/16, 2001::/32, 5f00::/8, 3ffe::/16, 2001:10::/28, ::/0, ff00::/8	Special use IPv6 address blocks from RFC 5156	ADDRESS:RESERVED_IPV6
192.88.99.0/24	6to4 IPv4 anycast address	ADDRESS:UNSUITABLE_IPV4
2001::/32, 2002::/16	Teredo and 6to4	ADDRESS:UNSUITABLE_IPV6
::<ipv4-address>/96, Syntactically incorrect IP-address	IPv4 mapped IPv6 address and syntactically incorrect addresses	ADDRESS:INVALID
10/8, 172.16/12, 192.168/16, 100.64/10	Private or Shared IPv4 addresses from RFC1918 and RFC 6598	ADDRESS:PRIVATE_IPV4

12. No open recursive name service

12.1 Test case identifier

DNS11 No open recursive name service

12.2 Objective

The authoritative name servers must not provide recursive name service.

This test case fulfills the requirements 2.11.1 in the “Technical requirements for authoritative name servers” document.

12.3 Inputs

See section 2.3 in this document.

12.4 Outcome(s)

No name server must respond with a possible referral packet. If the response is a referral, the message NAMESERVER:RECURSIVE is generated and this test case fails.

12.5 Environmental needs

All authoritative name servers listed in the inputs section 2.3 should be authoritative for the designated zone.

12.6 Special procedural requirements

This test has no procedural requirements.

12.7 Intercase dependencies

This test has no intercase dependencies.

12.8 Ordered description of steps to be taken to execute the test case

A SOA query for an almost certainly nonexistent name sent to the list of name servers, with the recursion request and DNSSEC flags set, resulting in a response with the recursion available flag set, an RCODE other than SERVFAIL or REFUSED and not referring to other servers. If the response is a possible referral, the message NAMESERVER:RECURSIVE is generated.

13. Same source address

13.1 Test case identifier

DNS12 Same source address

13.2 Objective

Responses from the authoritative name servers must contain the same source IP address as the destination IP address of the initial query.

This test case fulfills the requirements 2.12.1 in the “Technical requirements for authoritative name servers” document.

13.3 Inputs

See section 2.3 in this document.

13.4 Outcome(s)

The DNS answer must come from the same source IP address as the destination of the query. If there is a mismatch, the message NAMESERVER:NOT_SAME_SOURCE is generated and this test case fails.

13.5 Environmental needs

All authoritative name servers listed in the inputs section 2.3 should be authoritative for the designated zone.

13.6 Special procedural requirements

This test has no procedural requirements.

13.7 Intercase dependencies

This test has no intercase dependencies.

13.8 Ordered description of steps to be taken to execute the test case

One query per authoritative name server IP address is made, and the answer is verified to come from the same IP address. If there is a mismatch between these IP addresses, the message NAMESERVER:NOT_SAME_SOURCE is generated.

14. Check invalid syntax for SOA RNAME

14.1 Test case identifier

DNS23 Syntax for SOA RNAME

14.2 Objective

The SOA RNAME field must be valid in accordance with section 3.3.13 in RFC 1035 and section 3.4 in RFC 2822.

This test case is an addition to the Statement of Work; see section 2.1.2 in the DNS Test Plan document.

14.3 Inputs

See section 2.3 in this document.

14.4 Outcome(s)

The SOA field RNAME must comply with RFC 2822 “Address Specification”. If the validation of RNAME fails, the message MAIL:ADDRESS_SYNTAX is generated and this test case fails.

14.5 Environmental needs

This test has no environmental requirements.

14.6 Special procedural requirements

This test has no procedural requirements.

14.7 Intercase dependencies

This test has no intercase dependencies.

14.8 Ordered description of steps to be taken to execute the test case

A SOA query is made to all authoritative name servers. The SOA field RNAME is validated against the rules described in RFC 2822, “Address Specification”. If the RNAME field does not validate, the message MAIL:ADDRESS_SYNTAX is generated.

15. Check SOA Minimum value

15.1 Test case identifier

DNS24 SOA Minimum

15.2 Objective

The SOA Minimum field must be set to 300 seconds or more.

This test case is an addition to the Statement of Work; see section 2.1.2 in the DNS Test Plan document.

15.3 Inputs

See section 2.3 in this document.

15.4 Outcome(s)

The SOA Minimum value must not be less than 300. If the value is less than 300, the message SOA:MINIMUM_SMALL is returned and this test case emits a warning.

15.5 Environmental needs

This test has no environmental requirements.

15.6 Special procedural requirements

This test has no procedural requirements.

15.7 Intercase dependencies

This test has no intercase dependencies.

15.8 Ordered description of steps to be taken to execute the test case

The value from the SOA Minimum field is retrieved. If the value is less than 300 the message SOA:MINIMUM_SMALL is generated.

16. Global

16.1 Glossary

The glossary is available in the Master Test Plan.

16.2 Document change procedures

Document change procedures are documented in the Master Test Plan.